Employee Key License Application Form

| Applicant's Last Name (Please Print) | | | First Name | | | Middle Name | | | | | | | | |
|---|----------------------------|-------------|-------------|--|-----------------------|----------------------|------------------|--------------------------------|-------------------------|--------------------------------|---------------------------------|----------------|------------------------------------|------------------|
| Maiden/Married Names Used (Full Name) (Attach separate sheet if necessary) | | | | 1 | | | | Nicknames, A (Attach separa | | | | ime) | | |
| Sex Race Date of Bir | | | irth | | Socia | al Se | ecurity Numbe | r | | Other S | Social Security Numbers Used | | | |
| M F | | | | | | | | | | | Yes No (If yes attach details.) | | | |
| Place of Birth: City | / | | | State | C | ountry | | | | | Drivers | Lice | nse Nur | mber and State+ |
| Physical Appear | ance ⊏> | Height | | Weigh | Weight | | Hair | ir Color Eye Color | | | Scars/Tattoos | | If yes explain o a separate she | |
| U.S. Citizen | CO Resid | | | nclude details here: eparate sheet if necessa | | sary | ry) CO Residency | | ency D | Date Alien Registration Number | | tration Number | | |
| Physical Add | ress | | · | | | | | | | | | | | |
| Address | | | | | City | | | | County | | | S | tate | ZIP |
| Length of time Year(s) | e at this Ad Month(s | | Home Pho | none Number Ce | | Cell Phone Number Er | | Email Address | | | | | | |
| Mailing Addre | ess (if di | ifferent | from Phy | ysical | Addre | ess) | | | | | | | | |
| Address | | | | | | City | | | | | State | | ZIP | |
| List all address necessary) | ses whe | re you h | ave lived | durin | g the la | ast 5 y | yea | irs, not inclu | uding pres | sent a | ddres | s, (a | ittach | separate sheet i |
| Stree | et and N | lumber | | | | City | /St | ate/ZIP | | | Fro | m | | То |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| Name of licensed I | Medical Ma | arijuana bu | isiness whe | ere you | will be v | vorking |) W | ork Phone Nu | Imber | | Jot | o Title | 9 | |
| Name of present employer, if different from above | | | | | Work Phone Number () | | | Oc | Occupation or Job Title | | | | | |
| Do you currently p Colorado Medical | Marijuana | license? | | | - | | | are you an ass | sociated per | rson in | any othe | er typ | e of | |
| Yes No Have you ever approver issued? Yes No | olied befor (Not includ | e for a Me | nedical mar | ana lice | ense in t | his or a | | other jurisdicti | on, domesti | ic or foi | eign, wł | hethe | er or not | the license |
| Have you ever bee against any Medic | al Marijuar | na license | that you ha | | | | | | | | | | | |
| Yes No | | 'Yes", expl | ain here: | | | | | | | | | Date | <u> </u> | |
| | ui C | | | | | | | | | | | Date | • | |

| Applicant's Last Name (Please Print) | First Name | Middle Name |
|--------------------------------------|------------|-------------|
| | | |

Notice: The Employee Key License Application Form is an official document. If you provide false information on your Medical Marijuana license application and/or do not disclose all information the application asks, your license is subject to denial or revocation, and you may be subject to criminal prosecution. The Marijuana Enforcement Division will conduct a complete background investigation and will check all sources of information. You are advised that it is better to disclose all information than face denial, revocation or criminal prosecution.

If you need clarification of any of the following questions, please contact the Investigations Section at any Marijuana Enforcement Division office.

| 1. | Have you ever been convicted of a felony at anytime regarding the posses use of a controlled substance? | sion, distribution, or | Yes No | | | |
|---|---|------------------------|--------|--|--|--|
| 2. | Have you served a sentence, including probation or parole, within the past 5 years upon conviction for any felony, even if the conviction occurred more than 5 years ago? | | | | | |
| 3. | Are you a licensed Physician making patient recommendations? | | Yes No | | | |
| 4. | Have you had your authority to act as a primary caregiver revoked by the S | State Health Agency? | Yes No | | | |
| 5 | 5 Are you under 21 years of age at the time of this application? | | | | | |
| 6. Are you the spouse or child living in the household of any person employed by the Colorado Yes Marijuana Enforcement Division? | | | | | | |
| 7. | 7. Are you a sheriff, deputy sheriff, police officer, or prosecuting officer, or an officer or employee Yes No of the marijuana state licensing authority or a local licensing authority? | | | | | |
| STO | STOP! If you answered YES to any of the above questions, by Colorado law you cannot obtain or hold a Colorado Medical Marijuana license. | | | | | |
| | I have thoroughly read and understand the questions above, and understand that I cannot hold a Colorado Marijuana license if at any time in the future I can ever answer "Yes" to any of the questions above. | | | | | |
| Applica | nt's Signature | Date | | | | |

| Applicant's Last Name (Please Print) | | First Name | | | Middle Name | | |
|---|--|--|--|---|--|---|------|
| Military Information | | | | | | | |
| Have you ever served in any arm | ed forces? (Pleas | | ed copy of DD214) | | | | |
| Branch | Service Number | | Date of Service | Type of Discha | arge | Grade/Rank | |
| While in military service, were you | | | olation of UCMJ? | your applicatio | n. | L | |
| Criminal History | | | | | | | |
| 1. Have you, after turning 1 with, or convicted of ANY substance? | | | | | | ged 🗌 Yes [| No |
| 2. In the last 10 years have or convicted of ANY non- other country? You must include ALL age of 18, regardless of guilty. You must include ALL misdemeanors, and/or You must include ALL scene of an accident (offense which resulted NOTICE: Do not rely u on your record." A crim given, and have in you | -drug or non-i arrests, charg of the outcom arrests, charg r petty offense serious traffic hit and run); c l in your being upon your unc ninal record w | narcotic relate ges, and conv e, even if the ges, and conv es). c offenses, inc driving under o g taken into cu derstanding th as not cleared | ed crime or offer rictions in the las charges were d rictions regardle cluding DUI; DW denial, suspens ustody. nat an arrest or o d, erased, seale | ase in any m ast 10 years, ismissed or ss of the cla (AI; reckless ion or revoca charge is "no d or expung | anner in this or but not prior to you were found ss of crime (feld driving; leaving ation; or any oth ot supposed to h jed unless you v | any the I not onies, I the her | No |
| *If you answered YES, explain in detail on the sheet provided. For each offense for which you were arrested or charged, YOU MUST OBTAIN OFFICIAL DOCUMENTATION FROM THE COURT WHERE YOU APPEARED, SHOWING THE FINAL DISPOSITION (OUTCOME) OF YOUR CASE. This information will include whether you were found guilty or not guilty; and the penalty (money fine, time in jail or prison, or probation or deferred sentence). If you received a deferred judgment, a deferred sentence, or probation, your documentation must include the date that you were discharged or released from probation or other supervision. | | | | | | | |
| 3. Have you ever received country? | a pardon or i | ts equivalent | for any criminal | offense in th | nis or any other | Yes [| No |
| Have you, as an individu business entity, or as ov (other than divorces), ei fashion, in this or any ot | vner, director, ther as a plaiı | or officer of a | corporation, ev | er been a p | arty to a lawsuit | |] No |
| *If you answered YES to a application. | ny of the pred | ceding questic | ons, explain in d | etail on a se | eparate sheet a | nd attach it to your | |
| | | | | | | Applicant's Initials | |

DR 8521 (08/29/13)

COLORADO DEPÁRTMENT OF REVENUE Marijuana Enforcement Division 455 Sherman Street, Suite 390 Denver, CO 80203

Arrest Disclosure Form

If, since turning age 18, you have ever been arrested, served a criminal summons, charged with, or convicted of ANY crime regarding the possession, distribution or use of a controlled substance, you must disclose this information to the Marijuana Enforcement Division.

If you have been arrested in the past 10 years, given a summons, or been convicted of any non-narcotic offense, you must disclose this information to the Marijuana Enforcement Division.

Any person licensed by the Marijuana Enforcement Division, must make written notification to the Division's office of any criminal conviction and/or criminal charge pending against such person within 10 days of such arrest, summons, or conviction. This includes:

- Being taken into custody for any offense, including traffic offenses
- · Being issued a summons or citation for any offense except for minor traffic offenses
- · Failing to comply with your sentencing requirements
- · Failing to appear for a court proceeding and having a bench warrant issued
- Having your driver's license suspended or revoked
- Being alleged to have driven under the influence or impairment of intoxicating liquor or drugs

Failure to disclose an arrest or citation may result in disciplinary action, up to and including the denial of your license application.

Please List Each Offense Separately

| 1 | Date of Offense | Place of Offense | |
|----------|--------------------------------|---|------|
| Arrestin | ng Agency | | |
| Original | l Charge | | |
| Disposi | tion Narrative — Must also pro | vide official documentation (except for minor traffic offense). | |
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| | | | |
| | | | |
| | | | |
| 2 | Date of Offense | Place of Offense | |
| | ig Agency | | |
| | l Charge | | |
| Disposi | tion Narrative — Must also pro | vide official documentation (except for minor traffic offense). | |
| | | | |
| | | | |
| | | | |
| | | | |
| Printed | | | |
| Signatu | ire | | Date |

| Applicant's Last Name (Please Print) | First Name | Middle Name |
|--------------------------------------|------------|-------------|
| | | |

DR 8521 (08/29/13) **COLORADO DEPARTMENT OF REVENUE** Marijuana Enforcement Division 455 Sherman Street, Suite 390 Denver, CO 80203

Arrest Disclosure Form

(Continued)

Please List Each Offense Separately

| 3 | Date of Offense | Place of Offense | | | | | |
|----------|------------------------------------|--|------|--|--|--|--|
| Arrestin | g Agency | | | | | | |
| Original | Charge | | | | | | |
| Disposi | tion Narrative — Must also provide | official documentation (except for minor traffic offense). | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| 4 | Date of Offense | Place of Offense | | | | | |
| Arrestin | g Agency | | | | | | |
| Original | Charge | | | | | | |
| Disposi | tion Narrative — Must also provide | official documentation (except for minor traffic offense). | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Printed | Name | | | | | | |
| Signatu | re | | Date | | | | |

| Applicant's Last Name (Please Print) | First Name | Middle Name | | | | |
|---|--|---------------------|--------|--|--|--|
| Financial History | | | | | | |
| 1. Are you delinquent in the filing of an | Yes No | | | | | |
| Are you delinquent in the payment o anywhere? | any taxing agency | Yes No | | | | |
| 3. Are you delinquent in the payment o | al agency anywhere? | Yes No | | | | |
| 4. Are you delinquent in the repayment | t of any government-insured student loar | าร? | Yes No | | | |
| 5. Are you delinquent in the payment of | f any child support? | | Yes No | | | |
| | d or professional licenses you have held or any other domestic or foreign jurisdicti | | Yes No | | | |
| Liquor Real Estate B Lawyer Physician Racing Lottery Other: | roker/Sales Accountant [Insurance Securities Dealer | Gaming | | | | |
| | ged or professional license, withdrawn a ad any disciplinary action taken against as part of an ownership group? | | Yes No | | | |
| 8. Have you, as an individual, principal of any form of business entity, or as an owner, officer or director of a corporation, ever filed a bankruptcy petition, had such a petition filed against you or the business entity or the corporation; or had a receiver, fiscal agent, trustee, reorganization trustee or similar person appointed for you or the business entity or corporation? | | | | | | |
| United States, whether held in your | or otherwise derive a benefit from asset own name or another name, on your bel lividuals or business entities, or in trust, | half or for another | Yes No | | | |
| 10.Are you currently a party, or ever be | Yes No | | | | | |
| of federal, state or similar foreign an | decree, settlement or other disposition r titrust, trade or security law or regulation ntity of which you were a principal or aga director. | ever been filed or | Yes No | | | |
| *If you answered YES to any of the questions above or checked any boxes above, give details on separate sheet, including license number and dates license held for licenses marked on question 6. Include any items currently under formal dispute or legal appeal. Attach any documents to prove your settlement on any of these issues. | | | | | | |

OATH BY ASSOCIATED PERSON OR ASSOCIATED KEY

I declare, under penalty of perjury, that the entire foregoing pages, including all statements made and attachments (if any), are true, correct, and complete to the best of my knowledge and belief. I understand and acknowledge that any information contained herein or submitted as a part of this application that is found to be false or misleading may result in this application being denied, or any license granted pursuant to this application, suspended or revoked, in addition to possible filing of applicable criminal charges.

| Signature | Title (owner, manager, director, etc.) | Date |
|-----------|--|------|
| | | |

STATE OF ______) ss. COUNTY OF _____)

Subscribed and sworn to before me this _____ day of _____,

20___, by __

Witness my hand and official seal.

Notary Public

My commission expires: _____